



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 :  C12N 5/06		A1	(11) International Publication Number: <b>WO 94/10292</b>  (43) International Publication Date: 11 May 1994 (11.05.94)
<p>(21) International Application Number: PCT/CA93/00456</p> <p>(22) International Filing Date: 27 October 1993 (27.10.93)</p> <p>(30) Priority data: 07/967,622 28 October 1992 (28.10.92) US</p> <p>(71) Applicant: NEUROSPHERES LTD. [CA/CA]; 3330 Hospital Drive N.W., Calgary, Alberta T2N 4N1 (CA).</p> <p>(72) Inventors: WEISS, Samuel ; 4540 Chapel Road N.W., Calgary, Alberta T2L 1A6 (CA). REYNOLDS, Brent, A. ; 1117 Casson Green N.W., Calgary, Alberta T3B 2V6 (CA). HAMMANG, Joseph, P. ; 71 Acre Avenue, Barrington, RI 02806 (US). BAETGE, E., Edward ; Center Place, 50 Park Row West, #815, Providence, RI 02903 (US).</p>		<p>(74) Agent: CALDWELL, Roseann, B.; Bennett Jones Verchere, Suite 1800, 350 Albert Street, Box 25, Ottawa, Ontario K1R 1A4 (CA).</p> <p>(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i></p>	
<p>(54) Title: BIOLOGICAL FACTORS AND NEURAL STEM CELLS</p> <p>(57) Abstract</p> <p>Methods are described for increasing the number of neural stem cells that differentiate into astrocytes, oligodendrocytes, or neurons. The methods comprise proliferating isolated neural stem cells in a culture medium having a first growth factor to produce precursor cells. The precursor cells are then differentiated into astrocytes, oligodendrocytes, or neurons in a second culture medium, free of the first growth factor, containing a second growth factor or combination of growth factors.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	CN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LJ	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TC	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## BIOLOGICAL FACTORS AND NEURAL STEM CELLS

FIELD OF THE INVENTION

5       The present invention is directed to the culturing, growth and differentiation of neural stem cells using various biological factors. More particularly, the invention is related to a method for increasing the number of precursor cells that differentiate into a particular phenotype by exposing the cells to specific biological factors or combinations thereof.

10

SUMMARY OF THE INVENTION

15

20

A method of preparing differentiated cells from precursor cells is described wherein isolated neural stem cells are proliferated, in vitro, in culture medium containing a growth factor which induces the production of precursor cells. The precursor cells are then differentiated in a second culture medium having a second growth factor or combination of growth factors. The predominant phenotype of the differentiated cells is dependent upon the selection of the second growth factor or factors.

-2-

A method of preparing precursor cells is also disclosed wherein isolated neural stem cells are maintained in a culture medium containing basic fibroblast growth factor and then proliferated in a 5 second culture medium containing epidermal growth factor and basic fibroblast growth factor.

BACKGROUND OF THE INVENTION

The development of the nervous system begins at an early stage of fetal development. Neurogenesis, the 10 generation of new neurons, is complete early in the postnatal period. However, the synaptic connections involved in neural circuits are continuously altered throughout the life of the individual, due to synaptic plasticity and cell death.

15 The first step in neural development is cell birth, which is the precise temporal and spatial sequence in which precursor or progenitor cells proliferate and differentiate. Proliferating cells will give rise to neuroblasts, glioblasts and stem cells.

20 The second step is a period of cell type differentiation and migration when progenitor cells become neurons and glial cells and migrate to their final positions. Cells which are derived from the neural tube give rise to neurons and glia of the central 25 nervous system (CNS), while cells derived from the neural crest give rise to the cells of the peripheral nervous system (PNS). Certain factors present during development, such as nerve growth factor (NGF), promote the growth of neural cells. NGF is secreted by cells 30 of the neural crest and stimulates the sprouting and growth of the neuronal axons.

-3-

5        The third step in development occurs when cells acquire specific phenotypic qualities, such as the expression of particular neurotransmitters. At this time, neurons also extend processes which synapse on their targets. Neurons do not divide subsequent to differentiation.

10      Finally, selective cell death occurs, wherein the degeneration and death of specific cells, fibers and synaptic connections "fine-tune" the complex circuitry of the nervous system. This "fine-tuning" continues throughout the life of the host. Later in life, selective degeneration due to aging, infection and other unknown etiologies can lead to neurodegenerative diseases.

15      Recently, the concept of neurological tissue grafting has been applied to the treatment of neurological diseases such as Parkinson's Disease. Neural grafts may avert the need not only for constant drug administration, but also for complicated drug 20 delivery systems which arise due to the blood-brain barrier. However, there are limitations to this technique. First, cells used for transplantation which carry cell surface molecules of a differentiated cell from another host can induce an immune reaction in the 25 host. In addition, the cells must be at a stage of development where they are able to form normal neural connections with neighboring cells. For these reasons, initial studies on neurotransplantation centered on the use of fetal cells. Perlow, et al. describe the 30 transplantation of fetal dopaminergic neurons into adult rats with chemically induced nigrostriatal lesions in "Brain grafts reduce motor abnormalities produced by destruction of nigrostriatal dopamine system, Science

204:643-647 (1979). These grafts showed good survival, axonal outgrowth and significantly reduced the motor abnormalities in the host animals. Lindvall et al., in "Grafts of fetal dopamine neurons survive and improve 5 motor function in Parkinson's Disease," Science 257:574:577 (1990), showed that neural transplantation of human fetal mesencephalic dopamine neurons can restore dopamine synthesis and storage, and reduce rigidity and bradykinesia in patients suffering from 10 Parkinson's disease. Freed, et al. in "Transplantation of human fetal dopamine cells for Parkinson's Disease, " Arch. Neurol. 47:505-512 (1990) also show improvement in a patient who received a fetal transplant.

15 The above references disclose that mammalian fetal brain tissue has good survival characteristics upon immediate transplantation. The increased survival capability of fetal neurons is thought to be due to the reduced susceptibility of fetal neurons to anoxia than adult neurons, and also to the lack of cell surface 20 markers on fetal cells whose presence may lead to the rejection of grafted tissue from adults. However, although the brain is considered an immunologically privileged site, some rejection of fetal tissue can occur. Therefore, the ability to use fetal tissue is 25 limited, not only due to tissue rejection of the fetal tissue isolated from another host, and because of the resultant need for immunosuppressant drugs, but also due to ethical problems in obtaining fetal tissue. However, 30 neonatal brain tissue possesses limited capacity for survival and adult mammalian CNS neurons generally do not survive transplantation into the brain.

Although adult CNS neurons are not good candidates for neurotransplantation, neurons from the adult

5 peripheral nervous system (PNS) have been shown to survive transplantation, and to exert neurotrophic and gliotrophic effects on developing host neural tissue. One source of non-CNS neural tissue for transplantation  
10 is the adrenal medulla. Adrenal chromaffin cells originate from the neural crest like PNS neurons, and receive synapses and produce carrier and enzyme proteins similar to PNS neurons. Although these cells function in an endocrine manner in the intact adrenal medulla,  
15 in culture these cells lose their glandular phenotype and develop neural features in culture in the presence of certain growth factors and hormones (Notter, et al., "Neuronal properties of monkey adrenal medulla in vitro," Cell Tissue Research 244:69-76 [1986]). When grafted into mammalian CNS, these cells survive and  
15 synthesize significant quantities of dopamine which can interact with dopamine receptors in neighboring areas of the CNS.

20 In U.S. Patent No. 4,980,174, transplantation of monoamine-containing cells isolated from adult rat pineal gland and adrenal medulla into rat frontal cortex led to the alleviation of learned helplessness, a form of depression in the host. In U.S. Patent No. 4,753,635, chromaffin cells and adrenal medullary tissue  
25 derived from steers were implanted into the brain stem or spinal cord of rats and produced analgesia when the implanted tissue or cell was induced to release nociceptor interacting substances (i.e. catecholamines such as dopamine). Adrenal medullary cells have been  
30 autologously grafted into humans, and have survived, leading to mild to moderate improvement in symptoms (Watts, et al., "Adrenal-caudate transplantation in patients with Parkinson's Disease (PD): 1-year follow-up," Neurology 39 Suppl 1:127 [1989]; Hurtig et al.,

-6-

5 "Post-mortem analysis of adrenal-medulla-to-caudate autograft in a patient with Parkinson's Disease," Annals of Neurology 25:607-614 [1989]). However, adrenal cells do not obtain a normal neural phenotype, and are therefore probably of limited use for transplants where synaptic connections must be formed.

10 Another source of tissue for neurotransplantation is from cell lines. Cell lines are immortalized cells which are derived either by transformation of normal cells with an oncogene (Cepko, "Immortalization of neural cells via retrovirus-mediated oncogene transduction," Ann. Rev. Neurosci. 12:47-65 [1989]) or by the culturing of cells with altered growth characteristics in vitro (Ronnett, et al., "Human 15 cortical neuronal cell line: Establishment from a patient with unilateral megalencephaly," Science 248:603-605 [1990]). Such cells can be grown in culture in large quantities to be used for multiple transplants. Some cell lines have been shown to 20 differentiate upon chemical treatment to express a variety of neuronal properties such as neurite formation, excitable membranes and synthesis of neurotransmitters and their receptors. Furthermore, upon differentiation, these cells appear to be amitotic, 25 and therefore non-cancerous. However, the potential for these cells to induce adverse immune responses, the use of retroviruses to immortalize cells, the potential for the reversion of these cells to an amitotic state, and the lack of response of these cells to normal growth- 30 inhibiting signals make cell lines less than optimal for widespread use.

O-2A cells are glial progenitor cells which give rise in vitro only to oligodendrocytes and type II

-7-

astrocytes. Cells which appear by immunostaining in vivo to have the O-2A phenotype have been shown to successfully remyelinate demyelinated neurons in vivo. Godfraind et al., J. Cell Biol. 109:2405-2416 (1989).  
5 Injection of a large number of O-2A cells is required to adequately remyelinate all targeted neurons in vivo, since it appears that O-2A cells (like other glial cell preparations) do not continue to divide in situ. Although O-2A progenitor cells can be grown in culture,  
10 currently the only available isolation technique employs optic nerve as starting material. This is a low yield source, which requires a number of purification steps. There is an additional drawback that O-2A cells isolated by the available procedures are capable of only a  
15 limited number of divisions. Raff Science 243:1450-1455 (1989).

Transformed O-2A cell lines are unsuitable for transplantation due to the fact that the transformation process leads to a genetically (oncogene) controlled  
20 cell division as opposed to primary cell lines or neural stem or progenitor cells where regulation of division is at an epigenetic level. Additional potential problems include instability of cell lines over long periods of time, and aberrant patterns of  
25 differentiation or responses to growth factors. Goldman Trends Neuro. Sci. 15:359-362 (1992).

The inability in the prior art of the transplant to fully integrate into the host tissue, and the lack of availability of cells in unlimited amounts from a reliable source for grafting are, perhaps, the greatest  
30 limitations of neurotransplantation.

-8-

Therefore, in view of the aforementioned deficiencies attendant with prior art methods of neural cell culturing and transplantation, it should be apparent that there still exists a need in the art for 5 a reliable source of unlimited numbers of cells for neurotransplantation, which are capable of differentiating into neurons and glial cells.

Accordingly, it is an object of this invention to provide a reliable source of epigenetically regulated 10 cells for transplantation, which are capable of differentiating into neurons and glial cells.

It is another object of the invention to provide a method for influencing the differentiation of precursor cells using specific growth factors.

15 These and other objects and features of the invention will be apparent to those skilled in the art from the following detailed description and appended claims.

20 None of the foregoing references is believed to disclose the present invention as claimed and is not presumed to be prior art. The references are offered for the purpose of background information.

DETAILED DESCRIPTION OF THE INVENTION

Definitions

25 The term "stem cell" refers to an undifferentiated cell which is capable of proliferation and giving rise to more stem cells having the ability to generate a large number of progenitor cells that can in turn give rise to differentiated, or differentiable daughter 30 cells.

-9-

The term "neural stem cell" (NSC) refers to the stem cells of the instant invention, the progeny of which under appropriate culturing conditions, include both glial and neuronal progenitor cells.

5 The term "progenitor cells" refers to the undifferentiated cells of the instant invention, derived from neural stem cells, the progeny of which may, under appropriate conditions, include glial and/or neuronal progenitor cells.

10 The term "oligodendrocyte" refers to a differentiated glial cell which forms the myelin surrounding axons in the central nervous system (CNS). Oligodendrocytes are of the phenotype galactocerebroside (+), myelin basic protein (+), and glial fibrillary 15 acidic protein (-) [GalC(+), MBP(+), GFAP(-)].

The term "neuron" refers to a cell having the phenotype neurospecific enolase (+) or neurofilament (+) [NSE(+) or NF (+)].

20 The term "type I astrocyte" refers to a differentiated glial cell type with a flat protoplasmic/fibroblast-like morphology that is GFAP(+), A2B5 (-), GalC(-), and MBP(-).

25 The term "type II astrocyte" refers to a differentiated glial cell displaying a stellate process-bearing morphology of the phenotype GFAP(+), A2B5(+), GalC(-), and MBP(-).

The term "neuronal progenitor cells" refers to cells which produce daughter cells which under the appropriate conditions become or give rise to neurons.

-10-

The term "oligodendrocyte precursor cells" refers to cells which give rise to oligodendrocytes. Oligodendrocyte precursor cells can have the phenotype A2B5(+), O4(+)/GalC(-), MBP(-) and GFAP (-) [but are not 5 limited to this phenotype].

The term "neurosphere" refers to a cluster of cells derived from neural stem cells and cultured in vitro. At least some of the cells are of the nestin (+) phenotype. The cluster is comprised of stem cells 10 and/or progenitor cells and may or may not include differentiated cells.

The term "precursor cells" refers to the living cells of the instant invention that are derived from neural stem cells proliferated in a culture medium 15 containing a growth factor or factors, and includes both progenitor and stem cells. Precursor cells typically grow in the form of neurospheres, but may exhibit different growth patterns depending upon culture conditions.

20 The term "growth factor" refers to a protein, peptide or other molecule having a growth, proliferative, differentiative, or trophic effect.

25 The term "donor" refers to the human or animal which is the source of the neural stem cells used in the instant invention.

#### Description of the Preferred Embodiments

##### **Phenotypical characteristics**

Neural stem cells (NSCs) have been reported and

their potential use described. (Reynolds and Weiss, Science 255:1707 (1992)). It has been shown that NSCs give rise to neuroblasts (Reynolds and Weiss, Restorative Neurology & Neuroscience 4:208 (1992)). It 5 is now known that NSCs also give rise to major macroglial cell types (astrocytes and oligodendrocytes).

10 Neural stem cells can be isolated and cultured by the method of Reynolds and Weiss (supra). In brief, the epidermal growth factor (EGF)-responsive stem cell, when grown in a defined serum-free medium, and in the presence of a mitogen such as EGF or the like, is induced to divide giving rise to a cluster of undifferentiated cells. The clusters of cells are not immunoreactive for GFAP, neurofilament (NF), neuron-15 specific enolase (NSE) or MBP. However, precursor cells within the cluster are immunoreactive for nestin, an intermediate filament protein found in undifferentiated CNS cells. The nestin marker was characterized by Lehndahl et al., Cell 60:585-595 (1990), and is 20 incorporated herein by reference. The mature phenotypes associated with the four cell types which may be differentiated from the progeny of the precursor cells are predominantly negative for the nestin phenotype.

25 In the continued presence of a mitogen such as EGF or the like, precursor cells within the neurosphere continue to divide resulting in an increase in the size of the neurosphere and the number of undifferentiated cells [nestin(+), GFAP(-), NF(-), NSE (-), MBP (-)]. At this stage, the cells are non-adherent and tend to 30 form the free-floating clusters characteristic of neurospheres. However, culture conditions may be varied so that while the precursor cells still express the nestin phenotype, they do not form the characteristic

-12-

neurospheres. After removal of the mitogen, the cells adhere to the substrate (poly-ornithine-treated plastic or glass), flatten, and begin to differentiate into neurons and glial cells. At this stage the culture 5 medium may contain serum such as 0.5-1.0% fetal bovine serum (FBS). Within 2-3 days, most or all of the precursor cells begin to lose immunoreactivity for nestin and begin to express intermediate filaments specific for neurons or for astrocytes as indicated by 10 immunoreactivity to NF or GFAP respectively.

The identification of neurons is accomplished using immunoreactivity for neuron-specific enolase (NSE) and the neurofilament proteins tau-1 and MAP-2. Because these markers are highly reliable, they will continue 15 to be useful for the primary identification of neurons, however neurons can also be identified based on their specific neurotransmitter phenotype.

Using dual-label immunofluorescence and immunoperoxidase methods, differentiated neurosphere 20 cultures can be analyzed for the expression of neurotransmitters, or in some cases for the enzymes responsible for the neurotransmitter synthesis. Alternatively, in situ hybridization histochemistry can be performed using cDNA or RNA probes specific for the 25 peptide neurotransmitter or the neurotransmitter synthesizing enzyme mRNAs. These techniques can be combined with immunocytochemical methods to enhance the identification of specific phenotypes. If necessary, the antibodies and molecular probes discussed above can 30 be applied to western and northern blot procedures respectively to aid in the cell identification.

Alternatively, high performance liquid chromatography (HPLC) methods can be used in phenotype identification. HPLC is particularly useful for the identification of a number of small peptide neurotransmitters and catecholamine and indoleamine neurotransmitters. These techniques are highly sensitive and can be used in large-scale screening paradigms requiring relatively small sample volumes.

In addition to the presence of neurons and astrocytes, a large number of cells that do not express either intermediate filaments specific for neurons or for astrocytes, begin to express markers specific for oligodendrocytes in a correct temporal fashion. That is, the cells first become immunoreactive for O4 (a cell surface antigen), galactocerebroside (GalC, a myelin glycolipid) and finally, myelin basic protein (MBP). These cells also possess a characteristic oligodendrocyte morphology.

The present invention provides a method of influencing the relative proportion of these differentiated cell types by the addition of exogenous growth factors during the differentiation stage of the precursor cells. By using dual-label immunofluorescence and immunoperoxidase methods with various neuronal- and glial-specific antibodies, the effect of the exogenous growth factors on the differentiating cells can be determined.

The biological effects of growth and trophic factors is generally mediated through binding to cell surface receptors. The receptors for a number of these factors have been identified and antibodies and molecular probes for specific receptors are available.

-14-

5            Neural stem cells can be analyzed for the presence of growth factor receptors at all stages of differentiation. In many cases, the identification of a particular receptor will define the strategy to use in further differentiating the cells along specific developmental pathways with the addition of exogenous growth or trophic factors.

10           Exogenous growth factors can be added alone or in various combinations. They can also be added in a temporal sequence (i.e. exposure to a first growth factor influences the expression of a second growth factor receptor, Neuron 4:189-201 (1990). Among the growth factors and other molecules that can be used to influence the differentiation of precursor cells in vitro are acidic and basic fibroblast growth factor (aFGF & bFGF), ciliary neurotrophic factor (CNTF), nerve growth factor (NGF), brain-derived neurotrophic factor (BDNF), neurotrophin 3 (NT3), neurotrophin 4 (NT4), interleukins, leukemia inhibitory factor (LIF), cyclic 15           adenosine monophosphate (cAMP), forskolin, tetanus toxin, high levels of potassium (High K<sup>+</sup>), amphiregulin, transforming growth factor-alpha (TGF- $\alpha$ ), transforming growth factor betas (TGF- $\beta$ s), insulin-like growth factors, dexamethasone (glucocorticoid hormone), 20           isobutyl 3-methylxanthine (IBMX), somatostatin, growth hormone, retinoic acid, and platelet-derived growth factor (PDGF). These and other growth factors and molecules will find use in the present invention.

30           The differentiation of precursor cells may also be induced by various substrates in addition to poly-L-ornithine such as collagen, fibronectin, laminin, matrigel etc.

Examples**Example 1**  
**Propagation of precursor cells**

5      Embryonic day 14 (E14) CD<sub>1</sub> albino mice (Charles River) were decapitated and the brain and striata removed using sterile procedure. The tissue was mechanically dissociated with a fire-polished Pasteur pipette into serum-free medium composed of a 1:1 mixture of Dulbecco's modified Eagle's medium (DMEM) and F-12  
10     nutrient mixture (Gibco). The cells were centrifuged at 800 r.p.m. for 5 minutes, the supernatant aspirated, and the cells resuspended in DMEM/F-12 medium for counting.

15     The cells were suspended in a serum-free medium, hereinafter referred to as "complete medium", composed of DMEM/F-12 (1:1) which included glucose (0.6%), glutamine (2 mM), sodium bicarbonate (3 mM), HEPES (4-[2-hydroxyethyl]-1-piperazineethanesulfonic acid) buffer (5 mM) and a defined hormone mix and salt mixture (to  
20     replace serum) that included insulin (25 µg/ml), transferrin (100 µg/ml), progesterone (20 nM), putrescine (60 µM), and selenium chloride (30 nM) (all from Sigma except glutamine [Gibco]). In addition, the medium contained 16-20 ng/ml EGF (purified from mouse submaxillary, Collaborative Research) or TGF $\alpha$  (human recombinant, Gibco). The cells were plated at 0.2 x 10<sup>6</sup> cells/ml into 75 cm<sup>2</sup> tissue culture flasks (Corning) with no substrate pre-treatment and housed in an incubator at 37°C, 100% humidity, 95% air/5% CO<sub>2</sub>.

30     When the cells were proliferated, within the first 48 hours and by 3-4 days in vitro (DIV), they formed small clusters, known as neurospheres, that lifted off the substrate between 4-6 DIV.

-16-

After 7 DIV, the neurospheres were removed, centrifuged at 400 r.p.m. for 2-5 minutes, and the pellet was mechanically dissociated into individual cells with a fire-polished glass Pasteur pipet in 2 mls of complete medium.

1  $\times$  10<sup>6</sup> cells were replated into a 75 cm<sup>2</sup> tissue culture flask with 20 mls of the EGF-containing complete medium. The proliferation of the stem cells and formation of new neurospheres was reinitiated. This procedure can be repeated every 6-8 days.

**Example 2**  
**Differentiation of neurospheres**

Neurospheres were differentiated using the following paradigms. The neurospheres used for each paradigm were generated as outlined in Example 1. All the neurospheres used were passed at least once prior to their differentiation.

**Paradigm 1 -- Rapid differentiation of neurospheres**

Six to 8 days after the first passage, the neurospheres were removed and centrifuged at 400 r.p.m. The EGF-containing supernatant was removed and the pellet suspended in EGF-free complete medium containing 1% fetal bovine serum (FBS).

Neurospheres (approximately 0.5-1.0  $\times$  10<sup>6</sup> cells/well) were plated on poly-L-ornithine-coated (15  $\mu$ g/ml) glass coverslips in 24 well Nuclon (1.0 ml/well) culture dishes. After 24 hours in culture, the coverslips were transferred to 12 well (Costar) culture dishes containing complete medium containing 0.5% FBS. The medium was changed every 4-7 days. This differentiation procedure is referred to as the "Rapid Differentiation Paradigm" or RDP.

**Paradigm 2 -- Differentiation of dissociated neurospheres**

Six to 8 days after the first passage, the neurospheres were removed and centrifuged at 400 r.p.m. 5 The EGF-containing media was removed and the pellet was suspended in EGF-free complete medium containing 1% FBS. The neurospheres were mechanically dissociated into single cells with a fire-polished Pasteur pipette and centrifuged at 800 r.p.m. for 5 minutes. Between 0.5 10  $\times 10^6$  and  $1.0 \times 10^6$  cells were plated on poly-L-ornithine-coated (15  $\mu$ g/ml) glass coverslips in 24 well Nuclon (1.0 ml/well) culture dishes. The EGF-free culture medium containing 1% FBS was changed every 4-7 days.

15 **Paradigm 3 -- Differentiation of single neurospheres**

Neurospheres were washed free of EGF by serial transfers through changes of EGF-free medium. A single neurosphere was plated onto poly-L-ornithine-coated (15  $\mu$ g/ml) glass coverslips in a 24-well plate. The culture 20 medium used was complete medium with or without 1% FBS. The medium was changed every 4-7 days.

**Paradigm 4 -- Differentiation of single dissociated neurospheres**

Neurospheres were washed free of EGF by serial 25 transfers through changes of EGF-free medium. A single neurosphere was mechanically dissociated in a 0.5 ml Eppendorf centrifuge tube and all the cells were plated onto a 35 mm culture dish. Complete medium was used with or without 1% FBS.

-18-

**Example 3**

**Effect of growth factors on neurosphere differentiation**

The effects of CNTF, bFGF, BDNF, and Retinoic Acid on neurosphere differentiation were tested using the 5 differentiation paradigms set forth in Example 2.

**CNTF**

The effect of CNTF was assayed in paradigms 1 and 3. For both paradigms, CNTF was added either at the beginning of the experiment at a concentration of 10 10 ng/ml or daily at a concentration of 1 ng/ml.

In paradigm 1, the addition of CNTF increased the 15 number of neuron-specific enolase (NSE)-immunoreactive cells in addition to the number of tau-1-immunoreactive cells, suggesting that CNTF has an effect on the proliferation, survival, or differentiation of neurons. Preliminary testing with antibodies recognizing the 20 neurotransmitters GABA and Substance P suggest that there is no increase in the number of cells containing these proteins. This suggests that a different neuronal phenotype is being produced.

Three different antibodies directed against O4, galactocerebroside (GalC) and myelin basic protein (MBP) 25 were used to study the effect of CNTF on the oligodendrocytes of paradigm 1. CNTF had no effect on the number of O4(+) cells, but there was an increase in the number of GalC(+) and MBP(+) cells compared with the control. Thus it appears that CNTF plays a role in the maturation of oligodendrocytes.

In one experiment, the neurospheres were 30 differentiated as outlined in paradigm 1 except that serum was never added to the culture medium. While the effect of CNTF on neurons and oligodendrocytes was not

-19-

as apparent as in the presence of serum, there was an increase in the proliferation of flat, protoplasmic astrocytes. Hence, CNTF will affect astrocyte differentiation in various culture conditions.

5 In paradigm 3, the addition of CNTF resulted in an increase in the number of NSE(+) cells.

#### **BDNF**

10 The effect of BDNF was tested using Paradigm 3. There was an increase in the number of NSE(+) neurons per neurosphere. Additionally, there was an increase in the neuronal branching and the migration of the neurons away from the sphere.

#### **bFGF**

15 The effect of bFGF was tested using paradigms 2 and 4. In paradigm 2, 20 ng/ml of bFGF was added at the beginning of the experiment and cells were stained 7 days later. bFGF increased the number of GFAP(+) cells and the number of NSE(+) cells. This suggests that bFGF has a proliferative or survival effect on the neurons 20 and astrocytes.

25 In paradigm 4, 20 ng/ml of bFGF was added at the beginning of the experiment and assayed 7-10 days later. bFGF induced the proliferation of precursor cells generated by the EGF-responsive stem cell. It induced two different cell types to divide, neuroblasts and bipotential progenitor cells. The neuroblast produced, on average, 6 neurons while the bipotential cell produced approximately 6 neurons and a number of astrocytes.

-20-

In previous studies, it was found that when plated at low density (2500 cells/cm<sup>2</sup>), addition of EGF up to 7 days in vitro (DIV) could initiate proliferation of the stem cell, but not if applied after 7 DIV. Striatal 5 cells (E14, 2500 cell/cm<sup>2</sup>) were plated in the absence or presence of 20 ng/ml of bFGF. After 11 DIV, cultures were washed and medium containing 20 ng/ml of EGF was added. After 4-5 DIV, in cultures that were primed with bFGF, greater than 70% of the wells examined contained 10 clusters of proliferating cells that developed into colonies with the morphologic and antigenic properties of the EGF-generated cells. Cultures that had not been primed with bFGF showed no EGF-responsive proliferation. These findings suggest that the EGF-responsive stem 15 cells possess bFGF receptors that regulate its long term survival.

#### Retinoic acid

The effect of retinoic acid at 10<sup>-7</sup>M was tested using paradigm 1. There was an increase in the number 20 of NSE(+) and tau-1(+) cells, suggesting that retinoic acid increases the number of neurons.

#### Example 4

##### Screening for the trkB receptor on neural stem cells

The expression of the trk family of neurotrophin 25 receptors in EGF-generated neurospheres was examined by northern blot analysis. Total mRNA was isolated from mouse and rat striatal EGF-generated neurospheres. Both rat and mouse neurospheres expressed high levels of trkB receptor mRNA, but did not express trk nor trkC mRNA. 30 In preliminary experiments, single EGF-generated mouse neurospheres were plated on poly-L-ornithine coated glass coverslips and cultured in the absence or presence of 10ng/ml of BDNF. When examined after 14-28 days in vitro, neurospheres plated in the presence of BDNF

5 contained NSE(+) cells with extensive and highly branched processes; well-developed NSE(+) cells were not observed in the absence of BDNF. Activation of the trkB receptor on EGF-generated neurospheres may enhance differentiation, survival of and/or neurite outgrowth from newly generated neurons.

10 **Example 5**  
Screening for the GAP-43 membrane phosphoprotein  
on neural stem cells

15 10 Growth-associated protein (GAP-43) is a nervous system-specific membrane phosphoprotein which is down-regulated during development. Originally, GAP-43 was thought to be neuron-specific, however, recent reports indicate that this protein may be at least transiently expressed during development in some 15 20 astrocytes, oligodendrocytes and in Schwann cells. At present, the role of GAP-43 in macroglia is not known. The transient expression of GAP-43 in glial cells generated from the EGF-responsive stem cells derived 20 25 from embryonic and adult murine striatum was investigated. Glial cell (astrocyte and oligodendrocyte) differentiation was induced by plating precursor cells in a medium containing 1% FBS with no EGF. The cells were then probed with specific 25 30 antibodies for GAP-43, nestin, GFAP, O4, and GalC. In order to identify cells expressing GAP-43, the antibodies were pooled in various combinations using dual-label immunofluorescence methods.

30 During the first two days post plating, there was a low to moderate level of GAP-43 expression in almost all cells (flat, bipolar and stellate), but by 3-4 days post-plating, the level of GAP-43 expression became restricted to the bipolar and stellate cells. At 4 days the majority of GAP-43-expressing cells co-

-22-

labelled with the oligodendrocyte markers O4 and GalC although GFAP and GAP-43 was co-expressed in a number of cells. At one week post-plating however, essentially all of the GFAP-expressing astrocytes no longer expressed GAP-43 while the majority of the O4 and GalC-expressing cells continued to express GAP-43. At 7-10 days, these oligodendrocytes began to express MBP and lose the expression of GAP-43. The EGF-responsive stem cells may represent a useful model system for the study of the role of GAP-43 in glial and neuronal development.

5

10

## WHAT IS CLAIMED IS:

1. A method of preparing differentiated cells from neural stem cells comprising the steps of:
  - 5 (a) isolating neural stem cells from the tissue of a donor,
  - (b) proliferating the isolated neural stem cells in a first culture medium having a first growth factor to produce precursor cells, and
  - (c) differentiating the precursor cells to produce 10 differentiated cells by culturing said precursor cells in a second culture medium having at least a second growth factor wherein said second culture medium is substantially free of said first growth factor.
- 15 2. The method of Claim 1 wherein the first growth factor is epidermal growth factor.
3. The method of Claim 1 wherein the second culture medium contains serum.
- 20 4. The method of Claim 1 wherein the second growth factor is selected from the group consisting of acidic fibroblast growth factor, basic fibroblast growth factor, ciliary neurotrophic factor, nerve growth factor, brain-derived neurotrophic factor, neurotrophin 3, neurotrophin 4, interleukins, leukemia inhibitory factor, cyclic adenosine monophosphate, forskolin, 25 tetanus toxin, high levels of potassium, amphiregulin, transforming growth factor alpha, transforming growth factor betas, insulin-like growth factors, dexamethasone, isobutyl 3-methylxanthine, somatostatin, growth hormone, retinoic acid and platelet-derived 30 growth factor.

-24-

5. The method of Claim 1 wherein said differentiated cells are neurons and said second growth factor is a ciliary neurotrophic factor.

5 6. The method of Claim 1 wherein said differentiated cells are mature oligodendrocytes and said second growth factor is ciliary neurotrophic factor.

10 7. The method of Claim 1 wherein said differentiated cells are astrocytes, said second growth factor is a ciliary neurotrophic factor, and said second culture medium is substantially free of serum.

8. The method of Claim 1 wherein said differentiated cells are neurons and said second growth factor is a brain-derived neurotrophic factor.

15 9. The method of Claim 1 wherein said differentiated cells are neurons and said second growth factor is retinoic acid.

20 10. The method of Claim 1 wherein said differentiated cells are neurons and said second growth factor is basic fibroblast growth factor.

11. A method of preparing precursor cells comprising the steps of:

(a) isolating neural stem cells from the tissue of a donor,

25 (b) maintaining the isolated neural stem cells in a first culture medium containing basic fibroblast growth factor, and

(c) proliferating the isolated neural stem cells in a second culture medium containing epidermal growth

factor and basic fibroblast growth factor to produce precursor cells.

12. A method of preparing differentiated cells from neural stem cells comprising the steps of:

5 (a) isolating neural stem cells from the tissue of a donor,

(b) proliferating the isolated neural stem cells in a first culture medium having a growth factor to produce precursor cells, and

10 (c) contacting the precursor cells with a substrate in a second culture medium substantially free of said first growth factor.

15 13. The method of claim 12 wherein the substrate is selected from the group consisting of poly-L-ornithine, collagen, fibronectin, laminin, and matrigel.

## INTERNATIONAL SEARCH REPORT

Inten. nal Application No

PCT/CA 93/00456

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 5 C12N5/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NATURE vol. 347, 25 October 1990, LONDON, GB pages 762 - 765 CATTANEO ET AL 'PROLIFERATION AND DIFFERENTIATION OF NEURONAL STEM CELLS REGULATED BY NERVE GROWTH FACTOR' see the whole document ---	1,4,8
Y	SCIENCE vol. 255, 27 March 1992, WASHINGTON D.C., USA pages 1707 - 1710 REYNOLDS ET AL 'GENERATION OF NEURONS AND ASTROCYTES FROM ISOLATED CELLS OF THE ADULT MAMMALIAN CENTRAL NERVOUS SYSTEM' cited in the application see the whole document ---	2,3,5-13
Y	---	2,10-13
		-/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

- \*&\* document member of the same patent family

2 Date of the actual completion of the international search

Date of mailing of the international search report

1 February 1994

22-02-1994

## Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentstaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

## Authorized officer

Sitch, W

## INTERNATIONAL SEARCH REPORT

Int'l Application No

PCT/CA 93/00456

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	THE JOURNAL OF CELL BIOLOGY vol. 109, no. 5 , November 1989 , NEW YORK,USA pages 2405 - 2416 GODFRAIND ET AL 'IN VIVO ANALYSIS OF GLIAL CELL PHENOTYPES DURING A VIRAL DEMYELINATING DISEASE IN MICE' cited in the application see page 2405,abstract, and page 2415,paragraph 2 ---	5-7
Y	WO,A,89 03872 (AMRAD CORPORATION LIMITED) 5 May 1989 see page 13, line 21 - page 14, line 11 ---	9,10
Y	WO,A,91 02003 (BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM) 21 February 1991 see page 28, line 19 - line 36 ---	3
P,X	WO,A,93 01275 (WEISS ET AL) 21 January 1993 see the whole document -----	1-4, 10-13
P,Y		5-9

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 93/00456

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-8903872	05-05-89	AU-B- EP-A-	600393 0383804	09-08-90 29-08-90
WO-A-9102003	21-02-91	AU-A- US-A-	6174490 5276145	11-03-91 04-01-94
WO-A-9301275	21-01-93	AU-A-	2242592	11-02-93